



**MINISTRY OF FINANCE OF UKRAINE
NATIONAL ANTI-CORRUPTION BUREAU OF UKRAINE
ORDER**

03.03.2021 No. 144/32

**Registered with the Ministry of
Justice of Ukraine on May 11, 2021
under No. 624/36246**

On approving Procedure for providing by the State Financial Monitoring Service of Ukraine to the National Anti-Corruption Bureau of Ukraine case referrals (additional case referrals) and receiving by the State Financial Monitoring Service of Ukraine information on the progress of consideration thereof

Pursuant to clause 7 of section X “Final and Transitional Provisions|” of the Law of Ukraine “On Prevention and Counteraction to Legalization (Laundering) of the Proceeds from Crime, Financing of Terrorism and Financing of Proliferation of Weapons of Mass Destruction”, subclause 5 of clause 4 of t Regulation on the Ministry of Finance of Ukraine, approved by Resolution of the Cabinet of Ministers pf Ukraine on August 20, 2014 No. 375, and in order to determine the procedure for providing by the State Financial Monitoring Service of Ukraine case referrals to the National Anti-Corruption Bureau of Ukraine and consideration thereof,

WE ORDER:

1. To approve Procedure for providing by the State Financial Monitoring Service of Ukraine to the National Anti-Corruption Bureau of Ukraine case referrals (additional case referrals) and receiving by the State Financial Monitoring Service of Ukraine information on the progress of consideration thereof.

2. To recognize as invalid Order of the Ministry of Finance of Ukraine and the National Anti-Corruption Bureau of Ukraine of December 7, 2016 No. 1062/353 “On approval of Procedure for interaction between the State Financial Monitoring Service of Ukraine and the National Anti-Corruption Bureau of Ukraine”, registered with the Ministry of Justice of Ukraine on December 28, 2016 under No. 1729/29859.

3 Coordination and Monitoring Work Department of the Ministry of Finance of Ukraine along with Department for Financial Investigation of the State Financial Monitoring Service of Ukraine to submit this order, in accordance with the established procedure, for the state registration to the Ministry of Justice of Ukraine.

4. The Heads of the relevant structural units of the National Anti-Corruption Bureau of Ukraine and the State Financial Monitoring Service of Ukraine to ensure

control over the execution of this order in accordance with the requirements of the legislation.

5. The National Anti-Corruption Bureau of Ukraine to appoint authorized employees (officers) to organize permanent interaction with the State Financial Monitoring Service of Ukraine in the area of prevention and counteraction to legalization (laundering) of the proceeds from crime, financing of terrorism and financing of proliferation of weapons of mass destruction.

6. This order shall enter into force on the day of its official publication.

Minister of Finance of Ukraine

S. Marchenko

**Director of the National
Anti-Corruption Bureau of Ukraine**

A. Sytnyk

AGREED:

Head of the State Financial Monitoring
Service of Ukraine

I. Cherkaskyi

APPROVED

**Order of the Ministry of Finance of
Ukraine, the National Anti-
Corruption Bureau of Ukraine of
March 3, 2021 No. 144/32**

**Registered with the Ministry of
Justice of Ukraine on May 11, 2021
under No. 624/36246**

PROCEDURE

**for submitting by the State Financial Monitoring Service of Ukraine to the
National Anti-Corruption Bureau of Ukraine of case referrals (additional case
referrals) and receiving by the State Financial Monitoring Service of Ukraine
information on the progress of consideration thereof**

I. General provisions

1. This Procedure determines the mechanism for submitting by the SFMS to the National Anti-Corruption Bureau of Ukraine (hereinafter – the NABU) case referrals (additional case referrals) and receiving by the SFMS information from the NABU on the progress of consideration thereof.

2. In this Procedure, the terms are used in the following meanings:

annexes to case referrals (additional case referrals) - copies of documents relating to information on financial transactions and other information associated with case referrals (additional case referrals);

expert commission - the SFMS commission for consideration of case referrals and additional case referrals prepared for submitting to law enforcement and intelligence authorities;

submitting case referrals (additional case referrals) - actions of the SFMS officials concerning transferring case referrals (additional case referrals) to the NABU in accordance with the procedure established by law;

registration (accounting) of case referrals (additional case referrals) - assigning by the SFMS to each case referral (additional case referral) registration number, as well as their registration, accounting and recording in accounting journals, books, registers by the NABU and its territorial subdivisions;

consideration of case referrals (additional case referrals) – the NABU inspection of information, in accordance with the procedure established by the Criminal Procedure Code of Ukraine, set out in case referrals (additional case referrals), taking the necessary measures and making decisions in accordance with law.

Other terms are used in the meanings defined by the Law of Ukraine “On Preventing and Counteracting to Legalization (Laundering) of the Proceeds from Crime, Financing of Terrorism and Financing of Proliferation of Weapons of Mass

Destruction” (hereinafter - the Law) and the Law of Ukraine “On the National Anti-Corruption Bureau of Ukraine”.

3. The exchange of information between the NABU and the SFMS is carried out in compliance with the requirements of the legislation on the protection of restricted information.

4. The SFMS and NABU employees are involved in taking measures in the area of preventing and counteracting to legalization (laundering) of the proceeds from crime, financing of terrorism and proliferation of weapons of mass destruction in the manner prescribed by law.

II. Submitting case referrals (additional case referrals)

1. Information on a financial transaction or a set of related financial transactions, received by the SFMS in the manner prescribed by law, is processed and analyzed for the presence of sufficient grounds to believe that:

a financial transaction or a set of related financial transactions may involve the legalization (laundering) of the proceeds from crime, financing of terrorism or financing of the proliferation of weapons of mass destruction;

a financial transaction or a client is related to the commission of a criminal offense that does not involve the legalization (laundering) of the proceeds from crime or financing of terrorism.

The SFMS, in case of establishing the above grounds and in compliance with the requirements of Article 216 of the Criminal Procedure Code of Ukraine, within the period established by this Procedure, submits to the NABU the relevant case referrals (additional case referrals).

2. Case referrals (additional case referrals) are prepared by the SFMS and submitted to the NABU in paper and/or electronic form in compliance with the requirements of the legislation on the protection of restricted information.

3. Case referrals (additional case referrals) contain restricted information, which is a secret of financial monitoring.

If case referrals (additional case referrals) have annexes containing restricted information, the corresponding record is made in case referrals (additional case referrals).

4. The structure of case referral (additional case referral) is determined by the content and volume of information provided, and may include the following sections:

content;

preamble;

descriptive sections, which may include:

information on financial transactions and participants thereof;

summary;

motivated conclusion;

annexes.

5. When forming case referrals (additional case referrals) for the analysis of financial transactions, the SFMS may use, in particular, information and documents received from the NABU on financial transactions suspected of being related to the legalization (laundering) of the proceeds from crime, financing of terrorism,

financing of proliferation of weapons of mass destruction or the commission of another criminal offense a pre-trial investigation of which is pursued by the NABU, and/or related to persons to whom sanctions have been applied.

The information provided in accordance with this paragraph shall contain (if available) information and identification data of persons, the list of which is defined in the annex 1 to this Procedure.

The NABU provides information to the SFMS in compliance with the requirements of the legislation on the protection of restricted information and the inadmissibility of disclosure of information of a pre-trial investigation.

6. If it is necessary to apply (request) to financial intelligence unit of a foreign state, the SFMS may use the information received from the NABU on financial transactions, the state of consideration of case referrals (additional case referrals), criminal proceedings, including restricted information, only with the written permission of the NABU.

In the absence of such permission, the NABU information may not be used when applying (requesting) to a financial intelligence unit of a foreign state.

7. The SFMS specifies in case referral (additional case referral) containing information provided by a financial intelligence unit of a foreign state the conditions, restrictions and purposes of use, promulgating, disclosure of its source, further transfer to the NABU of such information determined by a financial intelligence unit of a foreign state.

The NABU is prohibited to disclosure, transfer or use it for any other purposes (judicial, administrative, investigative actions, requests for international legal assistanc etc.), except those specified by a financial intelligence unit of a foreign state which provided such information.

8. If there is information in case referral (additional case referral) of a financial intelligence unit of a foreign state, which has granted permission to transfer this information to a certain law enforcement agency, such case referrals (additional case referrals) are transferred to the specified law enforcement agency.

This information and case referral (additional case referral) containing it may not be transferred to another law enforcement agency or any third party without the permission of a financial intelligence unit of a foreign state which provided it.

9. If the SFMS has additional information related to case referrals previously sent to the NABU, the SFMS may form and provide the NABU with additional case referrals.

In this case, additional case referral is an integral part of case referral.

10. If the SFMS has information on case referrals (additional case referrals) previously sent to the NABU, as a result of which a pre-trial investigation has been completed or the operational-search case has been closed, the SFMS may send it to other law enforcement agencies in accordance with the decision of the expert commission in the form of case referrals (additional case referrals).

If, as a result of consideration of the case referral previously sent to the NABU, criminal proceedings have been initiated or case referral is considered (used) during a pre-trial investigation, additional case referrals shall be sent directly to the NABU, unless otherwise specified.

11. The decision on the existence of sufficient grounds for the transfer of case referrals (additional case referrals) to the NABU is made by the expert commission, the composition and powers of which are approved by the SFMS separate order.

On the basis of the SFMS letter, the NABU representatives may be invited to participate in the meetings of the expert commission, the candidatures and number of whom are approved by a separate administrative document of the NABU, which is communicated to the SFMS.

The NABU representatives involved in the meetings of the expert commission are endowed with advisory functions and have the right to:

- get acquainted with concise depersonalized descriptions of draft case referrals (additional case referrals) (in tabular form) and schemes of financial operations;

- make proposals on the presence or absence of sufficient grounds for transferring case referrals (additional case referrals) to the NABU or another law enforcement agency;

- make proposals on the scope and terms of the completion of case referrals (additional case referrals);

- make proposals for determining a law enforcement agency, its territorial body or structural unit to which it is recommended to send case referrals (additional case referrals);

- inform about the state of consideration of case referrals (additional case referrals) transferred to the NABU;

- make proposals for obtaining copies of case referrals (additional case referrals).

12. Only one copy of case referral (additional case referral) is sent to the NABU.

In case of NABU pre-trial investigation in criminal proceedings on financial transactions that are directly or indirectly related to transactions, reflected in case referral (additional case referral) provided to another law enforcement agency, its territorial body (with their permission), a copy of such case referral (additional case referral) may be sent to the NABU in accordance with the decision of the SFMS expert commission.

Copies of case referrals (additional case referrals) may be sent to the NABU in accordance with the decision of the SFMS expert commission without the permission of another law enforcement agency, its territorial body in case of a court decision or closure of criminal proceedings, operational search case, in which case referral (additional case referral) has been checked.

Sending to the NABU a copy of case referral (additional case referral) containing information of a financial intelligence unit of a foreign state, which granted permission to transfer information to another law enforcement agency, is not allowed without the permission of the relevant financial intelligence unit of a foreign state.

13. The deadline for transferring case referral (additional case referrals) to the NABU should not exceed five working days from the date of the decision of the FMS expert commission.

14. In case the SFMS decides to further suspend the relevant financial transactions in accordance with part two of Article 23 of the Law and/or suspend expenditure financial transactions in accordance with part three of Article 23 of the

Law, transferring case referrals (additional case referrals) to the NABU is carried out within the time limits established by paragraph 4 of section V of this Procedure.

15. The cover letter signed by the authorized person shall indicate the brief name of case referral (additional case referral), its registration number, availability of annexes, information on the expiration date of suspension of financial transactions and/or suspension of expenditure financial transactions in case of taking such decision by the SFMS pursuant to part two and/or three of Article 23 of the Law, as well as information on previously sent case referrals (additional case referrals).

16. Copies of documents received by the SFMS after sending case referral (additional case referral) to the NABU and which do not contain information on new financial transactions may be provided to the NABU by a separate supporting letter as annexes to case referral (additional case referral).

Annexes (if any) to case referral (additional case referral) are its integral part.

17. The NABU is prohibited to transfer to anyone the information received from the SFMS contained in case referral (additional case referrals) (except for cases of its transferring to other law enforcement agencies for use in accordance with the current legislation, considering the requirements of paragraphs 7, 8 of this section).

18. Additional case referrals to case referral previously submitted to the NABU (if any) are submitted by the SFMS to the NABU.

19. In case of transferring case referrals and/or referrals of consideration thereof by the NABU to another law enforcement agency, additional case referrals and additional information shall be sent by the SFMS to the law enforcement agency, which will inspect them.

III. Registration and consideration of case referrals (additional case referrals)

1. Registration and consideration of case referrals (additional case referrals) by the NABU are carried out in the manner prescribed by law, including in the part governing the procedure for using restricted information.

2. The NABU uses the received case referrals (additional case referrals) within its competence, when carrying out pre-trial investigation or operative-search activity in the manner determined by the legislation.

3. The NABU, having received case referral (additional case referral), not later than five working days from the date of its registration (accounting) provides the SFMS with information on the date and number of their registration, in particular, in case of decision to enter in the Unified Register of pre-trial investigations (hereinafter – the URPI), including available information on preliminary legal qualification of a criminal offense or an extract from the URPI.

4. If case referral (additional case referral) and/or referrals of their consideration in accordance with the legislation, including pursuant to the requirements of paragraphs 7, 8 of section II of this Procedure, are transferred by the NABU to its territorial body or structural unit or other law enforcement agency, the NABU shall inform the SFMS in the manner and terms specified in paragraph 3 of section IV of this Procedure.

IV. Informing on the state of consideration of case referrals (additional case referrals)

1. The NABU within five working days from the date of the decision based on the results of consideration of case referrals (additional case referrals) provides the SFMS with information in accordance with the list of information on the status of the NABU consideration of case referrals (additional case referrals) provided in annex 2 to this Procedure.

2. To inform reporting entities, the NABU submits to the SFMS information on the delivery to a person of a written notice of suspicion of committing a criminal offense, the closure of criminal proceedings initiated by the notification of such reporting entity, which was received by the SFMS in accordance with the requirements of Articles 8, 14, 15, 16, 23 of the Law, according to the form provided in annex 3 to this Procedure, as well as information (if any) on court decisions adopted.

3. The NABU within five working days from the date of transferring case referrals (additional case referrals) and/or referrals of their consideration to the territorial body or structural unit of the NABU or other law enforcement agency shall inform about this the SFMS, indicating the details of the cover letter by which they have been sent, the name of the body, its territorial body or structural unit, as well as registration data and the conditional name of case referral (additional case referral).

4. If it is necessary to obtain information (additional information) on the status of consideration of case referrals (additional case referrals), copies of procedural documents submitted, the SFMS sends inquiries to the NABU.

5. At the request of the SFMS, the NABU provides information (additional information) on the status of consideration of case referrals (additional case referrals).

In case of closure of criminal proceedings, in the framework of which case referral (additional case referral) has been inspected, the NABU provides a copy of the relevant resolution or notifies the date of its issuance, the grounds for closure under Article 284 of the Criminal Procedure Code of Ukraine and provides a reasoned opinion on the results of consideration of case referral (additional case referral).

6. When the NABU is sending to the SFMS information and/or documents on the results of consideration of case referrals (additional case referrals), it shall indicate in the cover letter information on the case referral (additional case referral), including its registration number in the SFMS.

7. The SFMS and the NABU once every six months verify the results of the review of case referrals (additional case referrals) submitted. The results of the verification shall be documented in an act in the form given in annex 4 to this Procedure.

The SFMS draws up an act in two copies, which is sent to the NABU by the 25th of the month following the reporting month.

The NABU within 30 calendar days from the date of receipt of an act shall verify and provide a copy of an act to the SFMS.

Verification act of the SFMS and the NABU includes information on case referrals and additional case referrals that have been under consideration by the NABU for more than six months and for which the SFMS has no information on the procedural decision or other decision provided for by law.

V. Informing on suspension of financial transactions

1. In case the SFMS decides to further suspend financial transactions in accordance with part two of Article 23 of the Law and/or suspend expenditure financial transactions in accordance with part three of Article 23 of the Law, which are related to suspicions of committing criminal offenses under the NABU jurisdiction or related to the information provided by the NABU, the SFMS shall inform the NABU of this decision no later than the next working day after the adoption of such a decision.

2. The NABU, having received information on the decision taken by the SFMS in accordance with paragraph 1 of this section, shall immediately (within two working days from the date of its receipt) provide the SFMS with the necessary additional information (if any), copies of documents (following the Criminal Procedure Code of Ukraine requirements) containing information on persons who carry out or are involved in the implementation of a financial transaction, including information on their criminal prosecution and criminal record, etc. to pursue an analysis.

3. In case of confirmation of a motivated suspicion, the SFMS prepares case referral related to the suspension of financial transactions and submits it to the NABU no later than the seventh working day after the decision on further suspension of financial transactions in accordance with part two of Article 23 of the Law or suspension of expenditure financial transactions in accordance with part three of Article 23 of the Law.

In this case, the period of suspension of the relevant financial transactions is extended by the SFMS from the next working day after the submission of the relevant case referral (additional case referral), provided that the total period of such suspension will not exceed 30 working days.

4. The NABU during the extension period of the suspension of the relevant financial transactions (expenditure financial transactions) conducts a pre-trial investigation and in case when:

the absence of an event of a criminal offense or the absence in the act of a criminal offense is established – it shall immediately inform the SFMS by the prescribed form (annex 5);

the reasonable suspicions of committing a criminal offense by a person are available and the relevant accounts are arrested in the manner prescribed by the Criminal Procedure Code of Ukraine – it shall inform the SFMS by the prescribed form (Annex 6) within two working days from the date of the court ruling on the arrest of property.

VI. Submitting and executing requests when reviewing case referrals (additional case referrals) received

1. The NABU, in order to receive information for the performance of its duties, when reviewing case referrals (additional case referrals), may send requests to the SFMS, the SFMS consideration of which should not exceed 30 calendar days.

The NABU in its request may indicate the reservation on the collection by the SFMS of additional information within the request.

2. The SFMS may send requests to the NABU, if it needs to obtain information (additional information) for the analysis of financial transactions or on the status of reviewing of case referrals (additional case referrals) submitted to the NABU, copies of procedural documents.

3. The exchange of information is carried out in compliance with the requirements of the legislation on the protection of the restricted information.

VII. Prevention of information disclosure

1. The NABU and The SFMS ensure the preservation of the completeness and integrity of the information received, create and maintain appropriate conditions for its storage, as well as preventing illegal access to it.

2. In order to prevent illegal disclosure of information contained in case referrals (additional case referrals), including when transferring and reviewing them, its disclosure and protection are carried out by the SFMS and the NABU employees in accordance with the legislation governing the procedure for the use of documents containing restricted information.

3. The NABU provides the SFMS with the information (copies of documents) provided for by this Procedure in compliance with the requirements of the legislation, in particular Article 222 of the Criminal Procedure Code of Ukraine, the Law of Ukraine “On Operative-Search Activities”.

**Director of Coordination and
Monitoring Work Department of the
Ministry of Finance of Ukraine**

YU. KONIUSHENKO

**Head of Legal Division of the
National Anti-Corruption Bureau of
Ukraine**

I. YARCHAK

Annex 1

to Procedure for submitting by the State Financial Monitoring Service of Ukraine to the National Anti-Corruption Bureau of Ukraine case referrals (additional case referrals) and receiving by the State Financial Monitoring Service of Ukraine information on the progress of consideration thereof (clause 5 of Section II)

**LIST
of data to be contained in the National Anti-Corruption Bureau of Ukraine
information**

No.	Data to be contained in the NABU information
1	2
I.	List of information required to apply to the SFMS
1.	Type of financial transactions in respect of which the NABU suspects that they are related to:
1)	legalization (laundering) of the proceeds from crime
2)	financing of terrorism and/or financing of proliferation of weapons of mass destruction
3)	committing another criminal offense
4)	committing an act for which international sanctions are provided
2.	Information on financial transactions referred to in paragraph 1 of this List shall be obtained:
1)	In case of a pre-trial investigation in criminal proceedings under the signs of crimes prescribed by Articles of the Criminal Code of Ukraine, the jurisdiction of which is determined by part five of Article 216 of the Criminal Procedure Code of Ukraine
2)	When performing tasks provided for by the Law of Ukraine “On Operative-Search Activity”, in order to prevent, detect, terminate, investigate and disclose criminal offenses under the jurisdiction of the NABU, as well as to prevent the commission of new
3.	Information on criminal proceedings:
1)	application registration number, report of a criminal offense committed, including in the Unified Register of Pre-Trial Investigations (hereinafter – the URPI)
2)	date of registration of an application, report of a criminal offense committed, including in the URPI
3)	qualification of criminal offense (s) suspected of being committed
4)	name of investigative unit conducting a pre-trial investigation

5)	brief description of circumstances of a criminal offense (date, time, address, place, method, tools, means, other features of a crime, data of persons suspected of committing a crime, etc.)
6)	estimated amount of material damages and/or criminal proceeds (UAH)
4.	Information on operative-search case:
1)	number of operative-search case
2)	date of operative-search case initiation
3)	qualification of criminal offense (s) suspected of being committed
4)	name of law enforcement agency, its structural unit, which is proceeding operative-search case
5.	Description of financial transaction which the NABU suspects of being related to legalization (laundering) of the proceeds from crime, or financing of terrorism, or financing of proliferation of weapons of mass destruction, or commission of another criminal offense, or an act for which international sanctions are foreseen:
1)	date of financial transaction
2)	amount of financial transaction
3)	content of financial transaction
4)	name of bank
5)	information on financial transaction participants
6)	other information on financial transaction (if available)
6.	Grounds for reasonable suspicion that financial transaction is related to legalization (laundering) of the proceeds from crime, or financing of terrorism, or financing of proliferation of weapons of mass destruction, or commission of another criminal offense, or an act for which international sanctions are foreseen
7.	Existence of a causal link between the circumstances under investigation in criminal proceedings, in operative-search case, and financial transaction
8.	Mandatory identification data of legal entities and individuals who have carried out financial transactions and may be directly or indirectly involved in legalization (laundering) of the proceeds from crime, or financing of terrorism, or financing of proliferation of weapons of mass destruction, or committing other criminal offense, or an act for which international sanctions are foreseen:
1)	for resident individuals:
	last name, first name, patronymic (if available)
	registration number of a taxpayer's account card or series and passport number (for individuals who due to their religious beliefs refuse to accept the registration number of a taxpayer's account card and notified the relevant supervisory authority thereof)
2)	for non-resident individuals: last name, first name, patronymic (if available) (in Ukrainian and/or English))
3)	for legal entities:
	full name
	USREOU code (for residents)

	Location
II.	The amount (list) of information required for applying to financial intelligence unit of another state
1.	Information specified in paragraphs 1 - 3, 6, 7 of section I of this List
2.	Information identifying an entity: name of an entity (last name, first name, patronymic (if any) of an individual and/or name of a legal entity)
3.	Financial and other information:
1)	name of bank (SWIFT-code (BIC-code) of bank)
2)	bank account number
3)	description of information (questions) required
4)	purpose of using information requested
5)	application of arrest, seizure or confiscation of property
6)	amount (type of currency) and/or origin of funds
7)	countries involved in the investigation

Annex 2
to Procedure for submitting by the
State Financial Monitoring Service of
Ukraine to the National Anti-
Corruption Bureau of Ukraine of case
referrals (additional case referrals) and
receiving by the State Financial
Monitoring Service of Ukraine
information on the progress of
consideration thereof
(clause 1 of section IV)

LIST
**of information on the state of consideration by the National Anti-Corruption
Bureau of Ukraine of case referrals (additional case referrals)**

No.	Stages of considering	Information on the status of considering case referrals and additional case referrals
1	2	3
1.	General information (to be provided in all cases)	<p>1. Number, date of case referral (hereinafter – the CR), additional case referral (hereinafter – the ACR).</p> <p>2. The NABU unit that received the CR (the ACR) for verification.</p> <p>In case of sending the CR (the ACR) or materials of their consideration to another body (unit), the SFMS shall be provided with a copy of a cover letter or information on the date and number of a cover letter and the name of the body (unit) to which the CR (the ACR) is sent.</p>
2.	Consideration of the CR (the ACR) in criminal proceedings	<p>An extract from the Unified Register of Pre-Trial Investigations (hereinafter – the URPI) or the following information is provided:</p> <p>1. Date and number of registration in the URPI of criminal proceedings in the framework of which the CR (the ACR) is verified.</p> <p>2. Article (s) of the Criminal Code of Ukraine and part (s) thereof, on the basis of which a pre-trial investigation is carried out.</p> <p>3. Name of a body and its unit by which the information is entered in the URPI.</p> <p>4. Name of a body and its unit conducting pre-trial investigation.</p> <p>5. Status of a pre-trial investigation (investigation is ongoing, criminal proceedings have been transferred under investigation, suspended, closed, etc.)</p>

		<p>6. A brief description of the plot of a pre-trial investigation.</p> <p>7. The amount of the proceeds legalized (million UAH).</p> <p>8. The total amount of money (list of property) arrested and/or seized during a pre-trial investigation (UAH million).</p> <p>Upon completion of the CR (the ACR) inspection, a copy of the decision on closing criminal proceedings or (and) a reasoned opinion on the results of the CR (the ACR) reviewing.</p> <p>In case of referring criminal proceedings to the court, the information on the name of the court, surnames, names, patronymics (if any) of the suspects, the accused, Articles of the Criminal Code of Ukraine.</p>
3.	<p>Consideration of the CR (the ACR) within the operative-search case</p>	<p>1. Number of operative-search case (hereinafter – te OSC) and date of its initiation.</p> <p>2. Name of an authority and its unit verifying the CR (the ACR) within the OSC</p> <p>3. The reason for the OSC closing</p>

Annex 3

to Procedure for submitting by the State Financial Monitoring Service of Ukraine to the National Anti-Corruption Bureau of Ukraine case referrals (additional case referrals) and receipt by the State Financial Monitoring Service of Ukraine of information on the progress of their consideration
(clause 2 of section IV)

**REPORT
on the procedural decision adopted**

No.	Number of the CR	Participant of financial transaction (surname, name, patronymic (if any), registration number of the taxpayer's account card (if available), name of a legal entity, identification code of the Unified State Register of Enterprises and Organizations of Ukraine)	Number of financial transaction under the CR	Number of criminal proceedings under the Unified Register of Pre-Trial Investigations	Date of entry of the fact into the Unified Register of Pre-Trial Investigations			Article of the Criminal Code of Ukraine	Name of the court, date and number of the decision that has entered into force (if available)
					delivery of a notice of suspicion	closure of criminal proceedings	sending an indictment to the court		
1	2	3	4	5	6	7	8	9	10

Head of structural unit of the National Anti-Corruption Bureau of Ukraine or his/her deputy/ head of structural unit of territorial administration of the National Anti-Corruption Bureau of Ukraine or his/her deputy

_____ 20 _____

(signature)

(Name and SURNAME)

Annex 4
to Procedure for submitting by the
State Financial Monitoring Service of
Ukraine to the National Anti-
Corruption Bureau of Ukraine case
referrals (additional case referrals) and
receipt by the State Financial
Monitoring Service of Ukraine of
information on the progress of their
consideration
(clause 7 of section IV)

Classified
Copy _____
(in case of filling)

ACT
of verification of the results of consideration of case referrals (additional case referrals) transferred for the period _____

No.	Number and date of the SFMS cover letter	Number and date of the CR (the ACR)	Type and conditional name of the CR (the ACR)	Date and number of registration in the Unified Register of Pre-Trial Investigations, numbers of Articles of the Criminal Code of Ukraine	The results of the CR consideration (the last decision adopted on the reporting date in accordance with law)
1	2	3	4	5	6

Head of structural unit of the
SFMS or his/her deputy

_____ 20____

(signature)

(Name and SURNAME)

Head of structural unit
Of the National Anti-Corruption
Bureau Of Ukraine or his/her deputy

_____ 20____

(signature)

(Name and SURNAME)

Annex 5

to Procedure for submitting by the State Financial Monitoring Service of Ukraine to the National Anti-Corruption Bureau of Ukraine case referrals (additional case referrals) and receipt by the State Financial Monitoring Service of Ukraine of information on the progress of their consideration
(paragraph 2 of clause 4 of section V)

INFORMING

the SFMS by the National Anti-Corruption Bureau of Ukraine on the absence of an event (corpus delicti) of a criminal offense based on the results of a pre-trial investigation into the suspension of financial transactions

No.	Information on financial transactions suspended			Information on establishing the absence of an event of a criminal offense or the absence in the act of a criminal offense
	date of the letter of the SFMS on the suspension of financial transactions	letter number of the SFMS on the suspension of financial transactions	account number (s) on which the financial transactions were suspended	
1	2	3	4	5

Annex 6
to Procedure for submitting by the State
Financial Monitoring Service of Ukraine to
the National Anti-Corruption Bureau of
Ukraine case referrals (additional case
referrals) and receipt by the State Financial
Monitoring Service of Ukraine of
information on the progress of their
consideration
(paragraph three of clause 4 of Section V)

INFORMING

the SFMS by the National Anti-Corruption Bureau of Ukraine on seizure of accounts based on the results of a pre-trial investigation into the suspension of financial transactions

No.	Type of information	Content of information
1	2	3
1.	Information on financial transactions suspended	1) date of the SFMS letter on the suspension of financial transactions 2) number of the SFMS letter on the suspension of financial transactions 3) account number (s) on which financial transactions were suspended
2.	Information on seizure of accounts	1) the number (s) of the account (s) seized 2) the amount of funds seized 3) the type of currency of the seized account 4) the date of the court (investigating judge) issuing the ruling imposing the arrest 5) the number of the arrest warrant 6) the name of the court that issued the arrest warrant 7) date of registration of criminal proceedings in the Unified Register of Pre-trial Investigations (hereinafter - URPI) 8) number of registration of criminal proceedings in URPI 9) the number (s) of the article (s) under which the criminal proceedings were registered
