



## CABINET OF MINISTERS OF UKRAINE

### RESOLUTION

of August 5, 2020 No. 692

Kyiv

**On approving the Procedure for forming and publishing the complex administrative reporting in the area of preventing and counteracting to legalization (laundering) of the proceeds from crime, financing of terrorism and financing of proliferation of weapons of mass destruction**

According to Article 19 (3) of the Law of Ukraine «On Preventing and Counteracting to the Legalization (Laundering) of Proceeds from Crime, Financing of Terrorism and Financing of Proliferation of Weapons of Mass Destruction», the Cabinet of Ministers of Ukraine **resolves**:

1. To approve the attached Procedure for forming and publishing the complex administrative reporting in the area of preventing and counteracting to legalization (laundering) of the proceeds from crime, financing of terrorism and financing of proliferation of weapons of mass destruction.

2. The Ministry of Finance of Ukraine shall approve the list of the complex administrative reporting indicators during three months from the date of entry into force of this Resolution.

3. Ministries and other central executive authorities shall develop and/or align their own regulations with the Procedure approved by this Resolution during three months from the date of approval of the complex administrative reporting indicators.

**Prime Minister of Ukraine**

**D. SHMYHAL**

**Ind. 67**

**APPROVED**  
**by the Cabinet of Ministers of Ukraine**  
**Resolution as of August 5, 2020 No. 692**

**PROCEDURE**

**for forming and publishing the complex administrative reporting in the area of preventing and counteracting to legalization (laundering) of the proceeds from crime, financing of terrorism and financing of proliferation of weapons of mass destruction**

1. The Procedure determines the mechanism for forming the complex administrative reporting in the area of preventing and counteracting to legalization (laundering) of the proceeds from crime, financing of terrorism and financing of proliferation of weapons of mass destruction (hereinafter – AML/CFT) and its publishing.

2. In this Procedure, the terms are used in the meaning given in the Laws of Ukraine «On Preventing and Counteracting to Legalization (Laundering) of the Proceeds from Crime, Financing of Terrorism and Financing of Proliferation of Weapons of Mass Destruction» and «On State Statistics».

3. In order to monitor the effectiveness of the AML/CFT system, the state financial monitoring entities, law enforcement and judicial authorities shall ensure forming of the AML/CFT complex administrative reporting.

4. The AML/CFT administrative reporting of the state financial monitoring entities, law enforcement and judicial authorities (hereinafter – administrative reporting) together constitutes the complex administrative reporting.

5. The list of the complex administrative reporting indicators is approved by the Ministry of Finance of Ukraine in agreement with the National Bank of Ukraine, the Ministry of Justice of Ukraine, the NCSSM, the Ministry of Digital Transformation of Ukraine, the State Judicial Administration of Ukraine and law enforcement authorities.

6. A specially authorized authority (hereinafter – the SFMS) is responsible for ensuring collecting, compiling and publishing of the complex administrative reporting.

7. Each state financial monitoring entity, law enforcement and judicial authority shall determine a person at the level of deputy head of the authority (or head of an independent structural unit) responsible for providing the SFMS with the relevant data and information in due terms and in accordance with the arrangements specified by this Procedure.

8. Persons specified in paragraph 7 of this Procedure shall:

inform the SFMS about the circumstances that could influence the process of forming administrative reporting, including special characteristics of the process of compiling data in the authority, information gaps in the data, necessary for the evaluation process, the difficulties in obtaining or providing data of certain types, by sending a letter within 10 working days from the day of identification of such circumstances;

ensure the provision of specific, reliable data and information.

9. Law enforcement authorities shall ensure forming of administrative reporting about the activities of the AML/CFT law enforcement system, which, in particular, includes information about:

the number of cases investigated, the number of persons against whom criminal proceedings have been initiated, related to money laundering, terrorist financing and proliferation of weapons of mass destruction, and the types of main criminal offenses;

the results of investigation of AML/CFT criminal offenses;

the number of requests for mutual legal assistance submitted, received, satisfied and which were denied;

the number of other AML/CFT international requests;

the value of frozen, seized or confiscated assets.

10. Judicial authorities shall ensure forming of administrative reporting about the results of AML/CFT court proceedings which also includes the information about:

the number of criminal cases (proceedings) concerning AML/CFT crimes, which were submitted to the court;

the number of criminal cases considered by courts;

the number of persons convicted of criminal offenses;

the amount of fines imposed by the court and the amount of confiscations of property applied by the court for AML/CFT crimes.

11. The state financial monitoring entities shall ensure forming of administrative reporting, which includes data characterizing the size and economic importance of various sectors that fall within the scope of the AML/CFT legislation, the results of the supervision over the observance by the reporting entities of the requirements of the AML/CFT legislation, in particular, information about:

the number of reporting entities which are registered or have a license and/or other documents that give the right to carry out activities, regarding which the person has the status of reporting entity, in the manner prescribed by law;

the number of verifications of the reporting entities conducted;

the number of violations detected and enforcement actions applied.

12. The SFMS shall ensure forming of administrative reporting in part of collecting, processing, analyzing and transferring case referrals (additional case referrals) to law enforcement and intelligence authorities, including on:

the received information about financial transactions that have become the object of financial monitoring (broken down by the type of reporting entities), the results of the analysis of such information;

the number of cases under investigation, the number of persons against whom criminal proceedings have been initiated, the number of persons convicted of criminal offenses related to legalization (laundering) of the proceeds from crime, financing of terrorism and financing of proliferation of weapons of mass destruction, according to such reports;

the number of international requests for information that were submitted, received, which were denied, fully or partially satisfied by the SFMS.

13. The state financial monitoring entities, law enforcement and judicial authorities shall submit to the SFMS administrative reporting for the previous year in electronic and/or paper form for its compiling till January 31 on annual basis.

In case of sending administrative reporting by post, the state financial monitoring entities, law enforcement and judicial authorities are obliged to send it to the SFMS not later than 10 days before the deadline for submission of this reporting.

14. The SFMS shall ensure collecting of administrative reporting and publishing complex administrative reporting on the official website of the SFMS till February 20 on annual basis.